



# VALOORES' FATCA Solution

## Streamlined Compliance for Financial Institutions

### Key Benefits:

- Leverages the existing compliance architecture.
- Streamlines the compliance process.
- Reduces lead time by leveraging existing on boarding systems.
- Manages FATCA list and withholding list from a single repository.
- Provides a 360° view of the customer from a FATCA perspective.
- Maintains required record retention as per applicable regulations.
- Due diligence cases based on FATCA requirements.
- Full audit trail, configurable rules, and common data model.
- Full workflow for creation and filing of reports to relevant authorities.
- Export reports on various formats such as PDF, Text file and XML.

### Key Features:

- Pre-configured account categorization rules and indicia checks.
- Existing account review rules.



# FATCA Simplified: Driving Compliance with Intelligence

The **VALOORES FATCA Solution** is a robust, cost-effective platform designed to seamlessly integrate into a financial institution's IT environment without disrupting daily operations. It ensures real-time mitigation of FATCA-related risks while operating within the existing compliance infrastructure, including Know Your Customer (KYC) and Anti-Money Laundering (AML) systems.

✓ **Real-Time Feedback:** Provides continuous updates to onboarding systems to ensure accurate FATCA compliance.

✓ **US Indicia & FATCA Status Validation:** Automates the validation process for US indicia and FATCA status to maintain regulatory accuracy.

✓ **Standard Integration:** Integrates effortlessly with VALOORES product suites for a unified compliance framework.

✓ **Documentation Management:** Manages critical documents, such as W-8, W-9, consent forms, and identity documents, ensuring complete auditability and compliance.

The solution creates a feedback loop within the KYC data for ongoing due diligence and supports:

✓ **Bulk Validation and Processing:** Streamlines workflows for efficient data validation, processing, and approval.

✓ **FATCA Reporting:** Facilitates the creation and submission of FATCA reports to the IRS with ease.

## Regulatory Reporting:

VALOORES simplifies regulatory reporting, transforming a complex process into a streamlined operation. Financial institutions can implement comprehensive, auditable enterprise reporting, supporting:

✓ **Annual and Ad-Hoc Regulatory Reporting** to the US IRS or local regulators.

✓ **Audit and Management Reporting** to ensure compliance oversight.

✓ **Client Reporting** for transparency and adherence to regulatory standards.

NORTH AMERICA  
1001 Bay Hill Drive, 2nd Floor  
San Bruno, CA 94066, US  
Tel: +1-877-484-5757  
contactus@VALOORES.com  
www.VALOORES.com

NORTHERN EUROPE  
29 Harley Street  
London W1G 9QR, UK  
Tel: +44-207-612-4716  
Fax: +44-207-927-3113  
contactus@VALOORES.com  
www.VALOORES.com

CYPRUS  
57 Spyrou Kyprianou Avenue  
Bybloserve Business Centre  
6051, Larnaca, Cyprus  
Tel: +35724812171  
contactus@VALOORES.com  
www.VALOORES.com

QATAR  
Level 22, Tornado Tower  
Doha, QATAR  
Tel: +9747001543  
contactus@VALOORES.com  
www.VALOORES.com